

2-02 10:59

From-HENKEL NIS CORP, PATENT DEPT,

6102786548

T-169 P.01/49 F-312

TELECOPY

HENKEL CORPORATION
2500 Renaissance Boulevard
SUITE 200
Gulph Mills, PA 19406

DATE: February 28, 2002

TO:

Name: Location US PTO Art Unit
1751

Attention: Examiner
A. Puri, Group 1751

Fax No.: (703)872-9310

FROM:

Name: Kimberly R. Hild

Location: Gulph Mills, PA

RE: SERIAL NO. 09/601,868

NUMBER OF PAGES 49 INCLUDING THIS COVER PAGE.

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GROUP 1700

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HENKEL CORPORATION
2500 Renaissance Boulevard
SUITE 200
Gulph Mills, PA 19406

DATE: February 28, 2002**TO:**Name: Location US PTO Art Unit
1751Attention: Examiner
A. Puri, Group 1751Fax No.: (703)872-9310**FROM:**Name: Kimberly R. HildLocation: Gulph Mills, PA**RE: SERIAL NO. 09/601,868**NUMBER OF PAGES 49 INCLUDING THIS COVER PAGE.We are transmitting from facsimile machine (610) 278-6548.If you do not receive all the pages indicated above, please call Amy Alleborn at (610) 278-4935 or 278-4920 between 8:30 A.M. and 5:30 P.M.

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Application of: Tsujino et al.Serial No. 09/601,868 Filed August 9, 2000Henkel Docket No. H4898 PCT/US

Attached herewith is:

- Amendment Transmittal Letter with request for three (3) month extension of time and authorization to charge deposit account (4 pages) in duplicate
- Amendment and Response Pursuant to 37 CFR \$1.111 (19 pages)
- Version with Markings to Show Changes Made (9 pages)
- Copy of Information Disclosure Statement, International Search Report, International Preliminary Examination Report, PTO-Form 1449, and Copy of date stamped return postcard previously submitted on March 16, 2001. (12 pages)

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PATENT
Docket No. H 4898 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Tsujino, et al.

Serial No. 09/601,868

Examiner: A. Puri

Filed: August 9, 2000

Art Unit: 1751

Title: A PERMANENT WAVE AGENT COMPOSITION HAVING
DYEING EFFECT AND METHOD FOR DYEING HAIR USING
THE SAME

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Assistant Commissioner for
Patents at 703-872-9310 on the date shown below.

February 28, 2002
Date

Amy Alleborn
Signature of certifier

Amy Alleborn
Typed or printed name of certifier

AMENDMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing in the above-identified patent application is:

- ☒ An Amendment Responsive to the Office Action Dated August 28, 2001.
- ☐ An Amendment Supplemental to the Paper filed _____.
- ☒ Other: Version with Markings to Show Changes Made.
- ☐ _____ Sheet of Proposed Corrected Informal Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: _____
is enclosed.

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GROUP 1700

DOCKET NO.: H 4898 PCT/US
Serial No.: 09/601,868

PATENT

- ☐ An Associate Power of Attorney is enclosed.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
- ☐ A copy of References listed on the attached Form PTO-1449 is enclosed herewith.
- ☐ Appended Material as follows: _____
- ☒ Other Material as follows: Copy of Information Disclosure Statement, International Search Report, International Preliminary Examination Report, PTO-Form 1449, filed on March 16, 2001. Copy of date stamped return postcard.
- ☒ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of August 28, 2001 to and through February 28, 2002 comprising an extension of the shortened statutory period of (3) three month(s).
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 01-1250, Order No. _____. This sheet is provided in duplicate.

DOCKET NO.: H 4898 PCT/US
Serial No.: 09/601,868

PATENT

FEE CALCULATION

[] No Additional Fee is Due.

				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	16	20 (20 MINIMUM)	0	\$9 EACH	\$	\$18 EACH	\$
INDEP. CLAIMS	3	3 (3 MINIMUM)	0	\$42 EACH	\$	\$84 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$	\$280	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$
<input checked="" type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$460	\$	\$920	\$920 Order No 02-0065
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$720	\$	\$1440	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$980	\$	\$1960	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$)	minus	(\$)
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS:							\$
TOTAL FEE DUE							\$920

DOCKET NO.: H 4898 PCT/US
Serial No.: 09/601,868

PATENT

[X] The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to Deposit Account No. 01-1250, Order No. _____. This sheet is provided in duplicate.

[X] The Foregoing Amount Due for Filing this Paper.

[X] Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.

[X] Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to notify the undersigned.

Respectfully submitted,

Kimberly R. Hild
Kimberly R. Hild
(Reg. No. 39,224)
Attorney for Applicant
(610) 278-4964

Date February 28, 2002
Henkel Corporation Patent Law Dept.
2500 Renaissance Boulevard, Suite 200
Culph Mills, PA 19406.

DOCKET NO. H 4898 PCT/US
SERIAL NO. 09/601868 ATTORNEY SRH/ly
APPLICANT Sanjivon et al

The patent office acknowledges, and has stamped hereon
the date of the receipt of the items checked below:

- ☐ AFFIDAVIT OR DECLARATION
- ☐ AMENDMENT
- ☐ APPEAL NOTICE
- ☐ APPLICATION
- ☐ ASSIGNMENT
- ☐ BASE ISSUE FEE
- ☐ BRIEF - IN TRIPLICATE
- ☒ CERT. OF MAILING BY EXPRESS MAIL



- 3/16/01 (DATE)
- ☐ EXTENSION OF TIME REQUEST
- ☐ LETTER
- ☐ LETTER - CHARGE DEPOSIT ACCOUNT
- ☐ LICENSE PETITION (35 USC 184)
- ☐ DRAWINGS
- ☐ PCT TRANSMITTAL LETTER PTO-1390
- ☐ PETITION
- ☐ PRIORITY CLAIM
- ☒ REFERENCES Form PTO/1449
- ☐ RESPONSE
- ☐ TERMINAL DISCLAIMER
- ☐ PCT TRANSMITTAL LETTER PTO-1382 (IF DUPLICATE)
- ☐ PCT REQUEST PAGES; DESCRIPTION PAGES;
- ☐ CLAIMS PAGES; ABSTRACT PAGES
- ☐ FEE CALCULATION SHEET (IN TRIPLICATE)
- ☐ RESPONSE TO INVITATION TO CORRECT DEFECTS
- ☒ POWER OF ATTORNEY

- ☒ OTHER
 - Information Disclosure Statement
 - International Search Report
 - International Preliminary Examination Report

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DUE
FILE H4898 pct/US

PATENT
Docket No. H 4898 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
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Amy Alleborn
Signature of certifier

Amy Alleborn
Typed or printed name of certifier

AMENDMENT AND RESPONSE PURSUANT TO 37 CFR §1.111

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This is a response to an Office Action dated August 28, 2001. A three-month extension of time accompanies this response to extend the reply period to and through February 28, 2002. Please amend the application as follows: